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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/789,061

02/27/2004

Donald E. Howes

WAST2 (026618.000002)

6980

TROUTMAN SANDERS LLP BANK OF AMERICA PLAZA, SUITE 5200 600 PEACHTREE STREET, NE ATLANTA, GA 30308-2216



**CONFIRMATION NO. 9560** FORMALITIES LETTER

OC000000012727907\*

Date Mailed: 05/21/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/20/2004 HLE333 00000094 10789061

01 FC:2051

65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/789,061
Filing Date	27 February 2004
First Named Inventor	HOWES, Donald E.
Group Art Unit	3727
Examiner Name	Not Assigned
Attorney Docket Number	WAST2

ENCLOSURES (check all that apply)						
Fee Transmittal Form  Fee Attached (Check No. 341225)  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  Executed Declaration, Unexecuted Declaration, Unexecuted Declaration, Unexecuted Power of Attorney, Copy of Notice to File Missing Parts, and Return Postcard				
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks:  If fax copy is difficult to discern, we enclose an unexecuted, clean copy of the Declaration and Power of Attorney.					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Ryan A. Schneider, Reg. No. 45,083 Individual name Signature						
Date 16 July 2004						
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being submitted by U.S. Mail to the U.S. Patent and Trademark Office, Mail Stop Missing Parts, in accordance with §1.8 on this date, and the correspondence includes a certificate for each piece of correspondence stating the date of mailing. The person signing the certificate has a reasonable basis to expect that the correspondence will be mailed on or before the date indicated.						
Typed or printed name Pamela G. Mashburn						
Signature Famula	A. Mashmyn Date	16 July 2004				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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## **FEE TRANSMITTAL** for FY 2004 Effective 01/01/03, patent fees are subject to annual revision

Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT

(\$)65.00

Complete if Known				
Application Number	10/789,061			
Filing Date	27 February 2004			
First Named Inventor	HOWES, Donald E.			
Examiner Name	Not Assigned			
Art Unit	3727			
Attorney Docket No.	WAST2			

METHOD OF PAYMENT (check all	that apply)					LCULATION (continued)	
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order		3. ADDITIONAL FEES					
			Entity		Entity	_	
☐ Deposit Account:		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee
Deposit Account Number		1051	130	2051	65	Surcharge - late filing fee or oath	Paid 65
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			130	1053	130	Non-English specification	<del></del>
Account Name TROUTMAN SANDERS LLP		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
		1804	920*	1804	920*	Requesting publication of SIR after Examiner action	
The Commissioner is authorized to: (check all that apply)		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any over	ernavments	1251	110	2251	55	Extension for reply within first month	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any deficiency in fees ☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1252	420	2252	210	Extension for reply within second month	
		1253	950	2253	475	Extension for reply within third month	
FEE CALCULATION		1254	1,480	2254	740	Extension for reply within fourth month	
1. BASIC FILING FEE		1255	2.010	2255	1,005	Extension for reply within fifth month	
Large Entity Small Entity Fee Fee Fee Fee Description		1401	330	2401	165	Notice of Appeal	
Fee Fee Fee Fee Fee Description  Code (\$) Code (\$)	on Fee Paid	1402	330	2402	165	Filing a brief in support of an appeal	
1001 770 2001 385 Utility filing fee		1403	290	2403	145	Request for oral hearing	
1002 340 2002 170 Design filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	<u> </u>	1452	110	2452	55	Petition to revive - unavoidable	
1005 160 2005 80 Provisional filing for		1453	1,330	2453	665	Petition to revive - unintentional	
SUBTOTAL (1) (\$)	0	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND R		1502	480	2502	240	Design issue fee	
Extra Claims Fee fi belo		1503	640	2503	320	Plant issue fee	
Total Claims - 20** = X	=	1460	130	1460	130	Petitions to the Commissioner	
Independent Claims - 3**= X	=	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent		1806	180	1806	180	Submission of Information Disclosure Strnt	
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Desc	rintion	8021	40	8021	40	Recording each patent assignment per property	
Code (\$) Code (\$)	Прион	1809	770	2809	385	(times number of properties) Filing a submission after final rejection (37 CFR	<b></b>
1202 18 2202 9 Claims in excess of 2		1810	770	2010	205	1.129(a))	
1201   86   2201   43   Independent claims in   1203   290   2203   145   Multiple dependent cl				2810	385	For each additional invention to be examined (37 CFR § 1.129(b)	
1204 86 2204 43 ** Reissue independ		1801	770	2801	385	Request for Continued Examination (RCE)	
original patent 1205 18 2205 9 ** Reissue claims in 6		1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 ** Reissue claims in o over original pater		Other fe	e (specify	)		фрасция	
SUBTOTAL (2) (\$)	0		ed by Bas		Fee Paid	SUBTOTAL (3) (\$)	65.00
**or number previously paid, if greater; For Reissues	s see above					, ,	05.00

SUBMITTED BY			Complete (if applicable)				
Name (Print/Type)	Ryan A. Schneider	Registration. No. (Attorney/Agent)	45,083	Telephone	404-885-2773		
Signature	(massivvv			Date	16 July 2004		

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